Docket No.: 42390P10792



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

YOUFENG WU

Application No.: 09/965,757

Filed: September 28, 2001

For: METHOD, APPARATUS, AND SYSTEM

> TO FORMULATE REGIONS OF REUSABLE INSTRUCTIONS

Art Group: 2122

Examiner: Unassigned

MAR 1 5 2002 Technology Center 2100

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure under 37 CFR § 1.56 and in accordance with 37 C.F.R. § 1.97, enclosed is a copy of Information Disclosure Citation Form PTO/SB/08. Copies of the references cited on PTO/SB/08 are enclosed herewith. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

Please charge any additional fee due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal is enclosed for this purpose.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

March 07, 2002 Date:

Ronald S. Tamura, Reg. No. 43,179

12400 Wilshire Blvd., 7th Floor Los Angeles, California 90025 (714) 557-3800

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 to the Patent and Trademark Office on: March 7, 2002.

Docket No.: 42390P10792

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Examiner: Unassigned

Art Group: 2122

In re Application of:

Youfeng Wu.

Application No.: 09/965,757

Filed: September 28, 2001

For: METHOD, APPARATUS, AND SYSTEM TO

FORMULATE REGIONS OF REUSABLE

INSTRUCTIONS

Assistant Commissioner for Patents Washington, D.C. 20231

CROSS-REFERENCE UNDER 37 C.F.R. §1.78(a)(2) TO RELATED APPLICATIONS

Sir/Madam:

Pursuant to 37 C.F.R. §1.78(a)(2), applicants hereby cross-reference the following related patent applications, which are all assigned to the same assignee as the present patent application.

- (1) A U.S. Patent Application entitled Method and Apparatus for Performing

 Compiler Transformation of Software Code Using Fastforward Regions Value Specification by

 Youfeng Wu, et al., U.S. Application No. 09/969,962, filed September 29, 2001, attorney docket reference No. 042390.P11903.
- (2) A U.S. Patent Application entitled Method, Apparatus, and System to Optimize

 Frequently Executed Code And To Use Compiler Transformation And Hardware Support To

 Handle by Youfeng Wu, et al., U.S. Patent Application No. 10/017,669, filed October 30, 2001, attorney docket reference No. 042390.P10350.

RST/lrr Filed: 09/28/01 If there are any charges, please charge Deposit Account No. 02-2666.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: March 07, 2002

RONALD S. TAMURA Reg. No. 43,179

CERTIFICATE OF MAILING

12400 Wilshire Boulevard, Seventh Floor
Los Angeles, California 90025
(714) 557-3800

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on: March 07, 2002.

a Robles 0.

RST/lrr Filed: 09/28/01 Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

2

of Sheet

	Complete if Known					
	Application Number	09/965,757				
	Filing Date	September 28, 2001				
	First Named Inventor	Youfeng Wu				
	Group Art Unit	2122				
	Examiner Name	Unassigned				
	Attorney Docket Number	42390P10792				

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No.'	U.S. Patent Document Number Kind Code² (if known)		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	Α	5,999,736		Gupta et al.	12-07-1999	
	В	6,442,321		Gupta et al.	03-28-2000	
					REC	EIVED
					MAR 1	5 2002
		<u> </u>			Technology	Center 2100
						•

FOREIGN PATENT DOCUMENTS									
Examiner	Cite	Fore	eign Patent Docu	ment	Name of Patentee or	Name of Patentee or Date of 1 collection of	Where Releva		
Initials*	No.1	Office ³	Number ⁴	Kind Code ^s (if known)	Applicant of Cited Document	Cited Document MM-DD-YYYY	Passages or Relevant Figures Appear		
		1					·		
		+ + -						+	
								+	
		+ +							
							-		
			····						

Examiner	Date	
Signature	Considered	d

^{*}Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

^{&#}x27;Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). 'For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. 'Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 'Applicant is to place a check mark here if English language Translation is attached.

PTO/SB/08B (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Substitute fo	for form 1449A/PTO			Complete if Known		
			MIDE	Application Number	09/965,757	
INFOR	RMATION [DISCLO	SUKE	Filing Date	September 28, 2001	
STATE	EMENT BY	'APPLIC	ANT	First Named Inventor	Youfeng Wu	
017111				Group Art Unit	2122	
			-	Examiner Name	Unassigned	
Sheet	2	of	2	Attorney Docket Number	42390P10792	

OTHER ART - NON PATENT LITERATURE DOCUMENTS								
Examiner Initials*	Cite No.'	The state of the s						
	С	PATH PROFILE GUIDED PARTIAL DEAD CODE ELIMINAITON USING						
		PREDICATION, by RAJIV GUPTA, et al., PACT "97, November 11-15						
		1997 in San Francisco, California						
	D	COMPILER-DIRECTED DYNAMIC COMPUTATION REUSE: RATIONALE AND INITIAL RESULTS, by DANIEL A. CONNORS, et al., Department of Electrical						
		and Computer Engineering Center for Reliable and High-Performance Computing, University of Illinois, Urbana, IL 61801, Nov. 1999						
	Е	IMPROVING TRACE CACHE EFFECTIVENESS WITH BRANCH PROMOTION AND TRACE PACKING, by SANJAY JERAM PATEL, et al., Advanced Computer						
		Architecture Laboratory, Department of Electrical Engineering and Computer Science, The University of Michigan, Ann Arbor, Michigan 48109-2122						
	F	THE SUPERBLOCK: AN EFFETIVE TECHNIQUE FOR VLIW AND SUPERSCALAR COMPILATION, by WEN-MEI W. HWU, et al., Center for Reliable						
		and High-Performance Computing, University of Illinois, Urbana-Champaign, Illinois, 61801.						
	G	REPLAY: A HARDWARE FRAMEWORK FOR DYNAMIC PROGRAM OPTIMIZATION, by SANJAY J. PATEL, et al., Center for Reliable and High-						
-		Performance Computing, The University of Illinois at Urbana-Champaign, CRHC Technical Report # CRHC-99-16, December 1999						

Examiner	Date
Signature	Considered

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication

'Unique citation designation number. ²Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.